IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Meil Ston Mendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Inventor(s):

Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C.

Hudgens

Title:

SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS

BETWEEN PROCESSING TOOLS

Serial No.:

10/764,620

Filed:

January 26, 2004

Examiner:

Kasenge, Charles R.

Group Art Unit: 2125

Transmitted herewith is:

X Information Disclosure Statement, PTO Form 1449 and four cited references (copy of one reference enclosed).

FEE CALCULATION									
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total				
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00				
Independent Claims	N/A	- 3 =	-0-	X \$89.00	\$0.00				
Basic Filing Fee \$790.00									
TOTAL FEES									

- The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1696</u>. A duplicate copy of this transmittal is enclosed.
- X Please address all future correspondence to:

Customer # 41161 Dugan & Dugan, PC 55 South Broadway Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit:

August 29, 2005

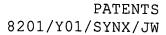
Signatura

Respectfully submitted,

Brian M. Dugan

Registration No. 41,720

(914) 332-9081





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Eric A. Englhardt, Vinay

Shah, Martin R. Elliott, Robert B. Lowrance

and Jeffrey C. Hudgens

Serial No. : 10/764,620

Filed: January 26, 2004

For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL

LOT SIZE SUBSTRATE CARRIERS BETWEEN

PROCESSING TOOLS

Group Art Unit: 2125

Customer No. : 41161

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following references:

U.S. Patent No. 5,110,249, Arthur E. Norman

U.S. Patent No. 6,036,426, Gary Hillman

U.S. Patent No. 6,183,186, Peltola et al.

Foreign Art Reference No. EP 0 277 536 A (EPO)



These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

Brian M. Dugan, Esq. Registration No. 41, 720

Dugan & Dugan, PC

Attorneys for Applicants

(914)332-9081

Dated: August 29, 2005

Tarrytown, New York

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U.S. Department of Commerce, Patent and Trademark Office				Docket No 8201/	.: Y01/SYNX/JW	Serial N	Serial No.: 10/764,620			
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Use several sheets if necessary)					Michael R. Rice, et al					
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